

SHIVAJI UNIVERSITY, KOLHAPUR

ANNUAL SELF-ASSESSMENT FOR THE PERFORMANCE BASED APPRAISAL SYSTEM
(ACADEMIC YEAR: _____ [Period from _____ to _____])(Introduced as per U.G.C. Notification 11th July, 2016 approved by Govt. of Maharashtra State
vide G.R. dated 04th March, 2017)**PART A : GENERAL INFORMATION AND ACADEMIC BACKGROUND**

1. Name (in Block Letters) :-
2. Father's Name / Mother's Name /
Husband's Name :-
3. Department :-
4. Current Designation & Grade Pay :-
5. Date of last Promotion :-
6. Address for correspondence (with Pin code) :-
7. Permanent Address (with Pin code) :-
Telephone No. (Res.) and (Cell) :-
Email :-
8. Whether acquired any degree or fresh academic qualification during the year :-
9. Academic Staff College Orientation / Refresher Course/ ISTE - AICTE Sponsored STTP/SBP attended during the year.

Name of the Course/ Summer School	Place	Duration	Sponsoring Agency

PART B :

ACADEMIC PERFORMANCE INDICATORS (API) FOR CAREER ADVANCEMENT SCHEME (CAS) PROMOTIONS OF ASSISTANT DIRECTOR OF PHYSICAL EDUCATION & SPORTS AND FOR COLLEGE DIRECTOR OF PHYSICAL EDUCATION & SPORTS AND FOR DIRECT RECRUITMENT OF DEPUTY DIRECTOR OF PHYSICAL EDUCATION & SPORTS IN UNIVERSITIES.

Based on the Physical Education Personnel's self-assessment, API scores are proposed for (a) Lecture cum practice based athlete / sports classes coaching and training related activities; (b) Organizing and conducting sports and games competitions and management related activities; and (c) upgradation of sports infrastructure and extension services etc. The minimum API score required by Physical Education Personnel from this category is different for different levels of promotion. The self-assessment score should be based on objectively verifiable records. It shall be finalized by the screening cum evaluation / selection committee.

***Hours spent on administrative responsibilities, innovation, upgradation of services, extension services etc.**

CATEGORY I: TEACHING, TRAINING, COACHING, SPORTS PERSON DEVELOPMENT AND SPORTSMANAGEMENT ACTIVITIES

a.

i. **Lecture cum practice based athlete / sports classes, seminars undertaken as per allotted hours /organizing and conducting coaching camps / sports person development / training programmes (50 Points)**

Sr. No	Particulars /Activity	No of Periods/Hours Engaged per annum	Actual total hours spent per academic year ÷ 17.5	Total Max Score (50 points)
1.	Lecture			
2.	Sports classes/session			
3.	Seminars			
4.	Organizing &conducting coaching camps (College/University)			
5.	Sports Person developmentScheme (Zonal ,Inter Zonal and University Sports)			
6.	Training programmes			
	Total			

ii. **Identifying sports talents and Mentoring sports excellence among students (20 Points)**

Sr. No	Particulars /Activity	No of Periods/Hours Engaged per annum	Actual total hours spent per academic year ÷ 17.5	Total Max Score (20 points)
1.	Identifying sports talent by various methods as Test, Time trials and Selection trials & etc.			
2.	Mentoring for sports performances			
3.	Study & analysis Athlete's /Team			
4.	Motivational Speech's			
5.	Encouraging Students for Participation			
6.	Counseling			
	Total			

iii. Development and maintenance of play fields, purchase and maintenance of other sports facilities (10 Points)

Sr. No	Particulars /Activity	No of Periods/Hours Engaged per annum	Actual total hours spent per academic year $\div 17.5$	Total Max Score (10 points)
1.	Development of Various Sports fields			
2.	Maintenance of Various Sports fields			
3.	Equipment's Purchase			
	Total			
	Total of i+ii+iii	Not more than 80/70/60 points		

b.

i. Management of Physical Education & Sports Program for students (planning, executing and evaluating the policies in physical education & Sports (10 Points))

Sr. No	Particulars /Activity	No of Periods/Hours Engaged per annum	Actual total hours spent per academic year $\div 10$	Total Max Score (10 points)
1.	Planning of physical Education & sports			
2.	Various Physical tests			
3.	Physical fitness programs			
4.	Sports facilities			
5.	Evaluation of Physical fitness & Training Programs of Students			
6.	Organizing special coaching camp			
7.	Intra- Murals of various Game and sports			
	Total			

ii. Organizing and conducting sports and games competitions at the International / National / State /Inter-zonal/Zonal (10 Points)

Sr. No	Particulars /Activity	No of Periods/Hours Engaged per annum	Actual total hours spent per academic year $\div 10$	Total Max Score (10 points)
1.	International			
2.	National/ Interuniversity			
3.	State/Inter -Zone			
4.	Zonal/District			
	Total			
	Total of i+ii			
		Not more than 10 points		

c.

i. Upgradation of scientific and technological knowledge in Physical Education and Sports (10 Points)

Sr. No	Particulars /Activity	No of Periods/Hours Engaged per annum	Actual total hours spent per academic year $\div 10$	Total Max Score (10 points)
1.	Theoretical & class room Presentation on various games using LCD			
2.	Explaining use of Technology in various Games			
3.	Use of Latest tools & machines for practicing the games			
4.	Up gradation by using ICT			
5.	Update latest rules			
	Total			

ii. Extending services, sports facilities and training on holidays to the institutions and organizations (10 Points)

Sr. No	Particulars /Activity	No of Periods/Hours Engaged per annum	Actual total hours spent per academic year $\div 10$	Total Max Score (10 points)
1.	Summer vacation camp for Games			
2.	Winter vacation camp for Games			
3.	Pre-Season coaching camp on Holidays			
4.	Expert speech & demo on various Games			
5.	Post season preparation camp			
6.	Adventure Sports			
7.	University Camps			
8.	Health Awareness Programmes			
	Total			
	Total of a+b+c	Not more than 80/80/75/70 points/year		

CATEGORY II**PROFESSIONAL DEVELOPMENT, CO-CURRICULAR AND EXTENSION ACTIVITIES**

Based on the teacher's self-assessment, Category II API scores are proposed for Professional development, co-curricular and extension activities; and related contributions. The minimum API required by teachers for eligibility for promotion is fixed in Table II (A). A list of items and scores is given below. The self-assessment score should be based on objectively verifiable records and shall be finalized by the screening cum evaluation committee for the promotion of Assistant Professor to higher grades and selection committee for the promotion of Assistant Professor to Associate Professor and Associate Professor to Professor and for direct recruitment of Associate Professor and Professor.

a. Student related co-curricular, extension and field based activities(15 points)**i. Discipline related co-curricular activities** (Cultural, Sports, NSS, NCC etc.) (various levels of intramural and extramural programmes)

Sr. No	Particulars /Activity	No of Periods/Hours Engaged per annum	Actual total hours spent per academic year ÷ 10	Total Max Score(15 points)	Page no
1.	NSS/NCC officers				
2.	NET/SET workshop conduct (1 week)				
3.	Cultural Activities/ intramurals/ Extramural				
4.	Study tour				
5.	Exhibition(Sports)				
6.	Sports activities - Official/Organizer etc.				
	Total				

ii. Extension and dissemination activities (public /popular lectures/talks/seminars etc.)

Sr. No	Particulars /Activity	No of Periods/Hours Engaged per annum	Actual total hours spent per academic year ÷ 10	Total Max Score(15 points)	Page no
1.	Nutrition & Diet				
2.	Lectures on special topics(Sports)				
3.	Participation in Seminar/conference				
4.	Organizing Tournaments / Activities other than University for the Society				
	Total				
	Total i + ii	Not more than 15 points			

b. Contribution to Corporate life and management of the sports units and institution through participation in sports and administrative committees and responsibilities (including as Principal /Director / Convener / similar other duties that require regular office hrs. for its discharge) **(15 points)**

Sr. No	Particulars /Activity	No of Periods/Hours Engaged per annum	Actual total hours spent per academic year ÷ 10	Total Max Score(15 points)	Page no
1.	BOS/ Faculty / Academic Council/ Senate/ any other University Committee				
2.	Chairman for subject related event like. Ph.D. Open Defense Session				
3.	Various Scrutiny meeting				
4.	Referee/ Judge for subject related event etc.				
5.	University Selection Committee				
6.	Institution / College-Industry interaction				
7.	Consultancy				
8.	Placement Coordinator				
9.	Training of Industry persons				
10.	Gymkhana Committee				
11.	Admission Committees				
12.	Discipline committee				
13.	Anti-ragging committee				
14.	Sexual Harassment committee				
15.	Statutory committees of the University				
16.	Head/Rector/Dean/IQAC Coordinator				
17.	Any other committee appointed by Hon'ble V.C / Principal				
	Total				

- c. Professional Development activities** (such as participation in seminars, conferences, short term training courses, camps & events, talks, lectures in refresher / faculty development courses, membership of associations, dissemination and general articles and any other contribution)

Sr. No	Particulars /Activity	No of Periods/Hours Engaged per annum	Actual total hours spent per academic year ÷ 10	Total Max Score(15 points)	Page no
1.	Seminar/Workshop/Symposia/Conference				
2.	Faculty development course				
3.	short term training courses				
4.	talks delivered in program				
5.	lectures delivered in program				
6.	General Articles Publications				
7.	General Awareness Activity				
8.	Officiating at Various Level				
9.	Manager / Coach of Teams at Nationals/Interuniversity/State				
	Total				
	Total of Category II Max score	Not More than 45 *For Assessment period 50			

* Teachers may score the balance of points from either Category II or Category III to achieve the minimum score required under Category II + III.

CATEGORY: III**RESEARCH AND ACADEMIC CONTRIBUTIONS**

Based on the teacher's self-assessment, API scores are proposed for research and academic contributions. The minimum API scores required for teachers from this category are different for different levels of promotion in universities and colleges. The self-assessment score shall be based on verifiable records and shall be finalized by the screening cum evaluation committee for the promotion of Assistant Professor to higher grades and Selection Committee for the promotion of Assistant Professor to Associate Professor and Associate Professor to Professor and for direct recruitment of Associate Professor and Professor.

III A) (i) Published Papers in Refereed Journals as notified by the UGC* (25 points per publication)

Sr. No.	Title with page Nos.	Journal	ISSN / ISBN No.	Impact Factor, if any	No. of co-authors	Whether principal author/ corresponding author / Guide	Self appraisal score	API score Verified	Page No. of relevant documents
Sub Total A (i)									

Published Papers in Other Reputed Journals as notified by the UGC* (10 points per publication)

Sr. No.	Title with page Nos.	Journal	ISSN / ISBN No.	Impact Factor, if any	No. of co-authors	Whether principal author/ corresponding author / Guide	Self appraisal score	API score Verified	Page No. of relevant documents
Sub Total A (i)									

III B) Publications other than journal articles (books, chapters in books)

- (i) Text/Reference, Books published by International Publishers, with ISBN/ISSN number as approved by the University and posted on its website. The List will be intimated to UGC. (30 Points per Book for Single Author)**

Sr. No.	Title with page nos.	Type of Book & Authorship	Publisher & ISSN/ ISBN No.	Whether peer reviewed	No. of co-authors	Whether principal author /corresponding author /Guide	Self Appraisal score	API score Verified	Page No. of relevant documents
Sub Total B i)									

- (ii) Subject Books, published by National level publishers, with ISBN/ISSN number or State / Central Govt. Publications as approved by the University and posted on its website. The List will be intimated to UGC. (20 Points per Book for Single Author)**

Sr. No.	Title with page nos.	Type of Book & Authorship	Publisher & ISSN/ ISBN No.	Whether peer reviewed	No. of co-authors	Whether principal author /corresponding author /Guide	Self Appraisal score	API score Verified	Page No. of relevant documents
Sub Total B ii)									

- (iii) Subject Books, published by other local publishers, with ISBN/ISSN number as approved by the University and posted on its website. The List will be intimated to UGC. (15 Points per Book for Single Author)**

Sr. No.	Title with page nos.	Type of Book & Authorship	Publisher & ISSN/ ISBN No.	Whether peer reviewed	No. of co-authors	Whether principal author /corresponding author /Guide	Self Appraisal score	API score Verified	Page No. of relevant documents
Sub Total B iii)									

(iv) **Chapters in Books, published by National and International level publishers, with ISBN/ISSN number as approved by the University and posted on its website. The List will be intimated to UGC. (International -10 points per Chapter National – 5 Points per Chapter)**

Sr. No.	Title with page nos.	Type of Book & Authorship	Publisher & ISSN/ ISBN No.	Whether peer reviewed	No. of co-authors	Whether principal author /corresponding author /Guide	Self Appraisal score	API score Verified	Page No. of relevant documents
Sub Total B iv)									

Total B (i+ii+iii+iv)	
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* Wherever relevant to any specific discipline, the API score for paper in refereed journal would be augmented as follows: (i) paper with impact factor less than 1 - by 5 points; (ii) papers with impact factor between 1 and 2 by 10 points; (iii) papers with impact factor between 2 and 5 by 15 points; (iv) papers with impact factor between 5 and 10 by 20 points; (v) papers with impact factor above 10 by 25 points. The API for joint publications shall be calculated in the following manner: Of the total score for the relevant category of publication by the concerned teacher, the First and Principal / corresponding author /supervisor / mentor would share equally 70% of the total points and the remaining 30% would be shared equally by all other authors.

III C) Research Projects:

III C (i) Sponsored Projects

- A) Major projects: grants above Rs.30 lakhs for Engineering/ sciences & above Rs.5 lakhs for others, 20 points per project,
- B) Major project: grants above Rs. 5 lakhs up to Rs. 30 lakhs for Engineering/ science and Rs.3 lakhs minimum to Rs. 5 lakhs for others, 15 points per project,
- C) Minor project: grants above Rs.1 lakhs up to Rs. 5 lakhs for Engineering/ sciences & above Rs.1 lakhs up to Rs. 3 lakhs for others, 10 points per project,

Type of project	Title	Agency	Year of completion	Whether Co-PI	Grant (Rs. Lakh)	Self appraisal Score	API score Verified	Page No. of relevant documents
Sub Total C (i)								

III C (ii) Consultancy Projects (Amount mobilized with minimum Rs.10 lakhs for Engineering/ sciences & minimum of Rs.2 lakhs for others, 10 points for every Rs 10 lakhs & Rs. 2 lakhs. respectively)

Sr. No.	Title	Agency	Year of completion	Whether Co-PI	Amount Mobilized (Rs. Lakh)	Self appraisal Score	API score Verified	Page No. of relevant documents
Sub Total C (ii)								

III C (iii) Projects Outcome/Output:

Patent / Technology transfer / Product process

Major Policy document prepared for international bodies like WHO/UNO/UNESCO/UNICEF etc. Central / State Govt./Local Bodies(30 for each International / 20 for each national level output or patent. Major policy document of International bodies - 30 Central Government – 20, State Govt.-10 Local bodies – 5)

Sr. No.	Name of the Project	Funding Agency	Whether Co-PI	Amount Mobilized (Rs.)	Self appraisal score	API score Verified	Page No. of relevant documents
Sub Total C (iii)							

Total C(i+ii+iii)	
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III D Research Guidance (In the case of joint supervision points are to be equally shared)

(For M.Phil. degree awarded 5 points per candidate, Ph.D. degree awarded 15 points per candidate.
Ph.D. thesis submitted 10 points per candidate)

Sr. No.	Number Enrolled	Thesis Submitted	Degree awarded	API Score Claimed by the candidate	API Score Verified	Page No. of relevant documents
M. Phil or equivalent						
Ph. D. or equivalent						
Sub Total D						

III E Fellowships, Awards and Invited lectures delivered in conferences / seminars**III E (i) Fellowships/ Awards**

- A. International Award /Fellowship from academic bodies/associations -15 per Award / 15 per Fellowship.
- B. National Award/Fellowship from academic bodies/associations -10 per Award / 10 per Fellowship.
- C. State/University level Award from academic bodies/associations -5 per Award

Sr. No.	Fellowship / Award	Year	Level International /National / State / University	Awardee Academic Body/ Association	API Score Claimed by the candidate	API Score Verified	Page No. of relevant documents
Sub Total E (i)							

III E (ii) Invited lectures / papers International level 7 per lecture, National level 5 per lecture, State / University level 3 per lecture

a. Invited lectures

Sr. No.	Title of Lecture /Academic Session	Title of Conference /Seminar etc	Organized by	Whether international /National	API score claimed	API Score Verified	Page No. of relevant documents
Sub Total E (ii)							

(ii) Invited lectures / papers International level 5 per paper, National level 3 per paper, State / University level 2 per paper

a. Papers presented

Sr. No.	Title of Lecture /Academic Session	Title of Conference /Seminar etc	Organized by	Whether international/N ational/ State or University level	API score claimed	API Score Verified	Page No. of relevant documents
Sub Total E (ii)							

Total E (i+ii)	
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The score under this sub-category shall be restricted to 20% of the minimum fixed for Category III for any assessment period

III (F) Development of e-learning delivery process/material

10 per module

SUMMARY OF CATEGORY III

Details	By Candidate	By Committee	Remark
Total A)			
Total B)			
Total C)			
Total D)			
Total E)			
Total F)			
Grand Total			

List of Enclosures:

(Please attach, copies of certificates, and / or letters sanction orders, papers etc. wherever. Necessary)

1	6
2	7
3	8
4	9
5	10

UNDERTAKING

I _____ undertake that the information provided is correct as per records submitted by me to College / Institute / university and / or documents enclosed along with the duly filled PBAS performa.

My PBAS based APIs score carries

Place :

Date :

Signature of the faculty with
Designation

Place :

Date :

Signature
Head of the Department

Place :

Date :

Principal / Director/ Vice- Chancellor

N. B.: The individual PBAS performa duly filled along with all enclosures, submitted for CAS promotions will be duly verified by the college/Institute/university as necessary and placed before the Screening cum Evaluation Committee or Selection Committee for assessment / verification.